

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.**

THIS PAGE BLANK (USPTO)

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208	209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224	225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240	241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256	257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272	273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288	289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	335	336	337	338	339	340	341	342	343	344	345	346	347	348	349	350	351	352	353	354	355	356	357	358	359	360	361	362	363	364	365	366	367	368	369	370	371	372	373	374	375	376	377	378	379	380	381	382	383	384	385	386	387	388	389	390	391	392	393	394	395	396	397	398	399	400	401	402	403	404	405	406	407	408	409	410	411	412	413	414	415	416	417	418	419	420	421	422	423	424	425	426	427	428	429	430	431	432	433	434	435	436	437	438	439	440	441	442	443	444	445	446	447	448	449	450	451	452	453	454	455	456	457	458	459	460	461	462	463	464	465	466
---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

(10) International Publication Number
WO 01/91824 A2

PCT

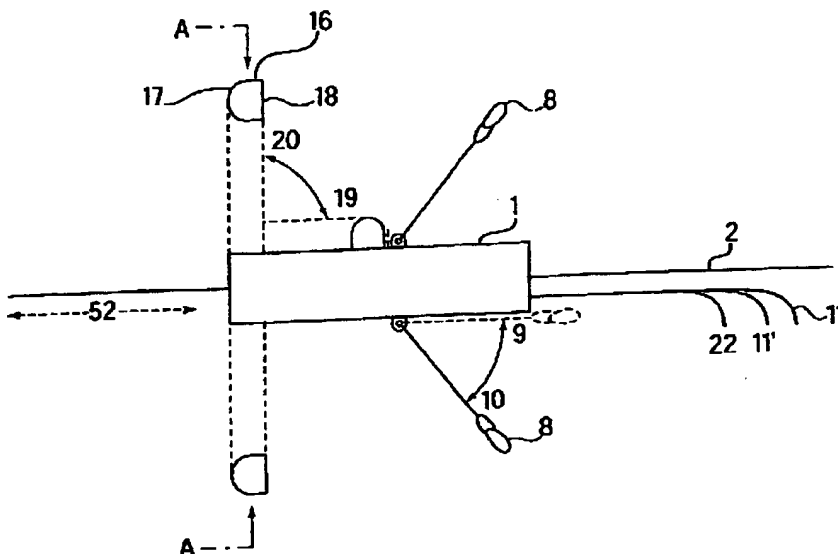
- (51) International Patent Classification⁷: A61M
- (21) International Application Number: PCT/IL01/00493
- (22) International Filing Date: 29 May 2001 (29.05.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
09/583,363 30 May 2000 (30.05.2000) US
- (71) Applicant (for all designated States except US): ZULI HOLDINGS LTD. [IL/IL]; Anafa Street 8, 47226 Ramat Hasharon (IL).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): RICHTER, Jacob [IL/IL]; Anafa Street 8, 47226 Ramat Hasharon (IL).
- (74) Agents: MOSHE, Mirella et al.; Eitan, Pearl, Latzer & Cohen-Zedek, Gav Yam Center 2, Shenkar Street 7, 46725 Herzlia (IL).
- (84) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KB, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:
— without international search report and to be republished upon receipt of that report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ACTIVE ARTERIAL EMBOLIZATION FILTER



(57) Abstract: Apparatus for collecting emboli flowing in a lumen. A motor has a longitudinal bore, a friction area within the longitudinal bore, and a guide wire disposed within the longitudinal bore. The guide wire and friction area of the motor are sized and adapted to contact each other and impart friction between the friction area and the guide wire to permit the motor to rotate around the guide wire. A thrombus collecting gutter is attached to the motor. Paddles are attached to motor and are adapted to initiate a spiral blood flow when the motor rotates. The spiral blood flow causes thrombi in the blood to be deflected towards the internal wall of the lumen and be trapped in a thrombus collecting gutter which is positioned on the motor downstream of the paddles.

WO 01/91824 A2

ACTIVE ARTERIAL EMBOLIZATION FILTER

FIELD OF THE INVENTION

5 This invention relates generally to an arterial filter and method of filtering blood flowing within a lumen. More particularly, this invention relates to an active arterial embolization filter and method for actively collecting and removing emboli from a vessel being treated.

BACKGROUND OF THE INVENTION

10 The need for an arterial barrier or filter to prevent embolization of particles down-stream from the area being treated in the arterial system is well known, e.g., in percutaneous transluminal angioplasty (PTA). This need became especially clear and more urgent as the procedure of PTA (catheter
15 based) treatment of the carotid arteries became more common. One of the major risks of stenting in the carotid arteries is the danger of emboli drifting downstream from the area being treated and causing transient ischemic attack(TIA). Several conventional devices have been utilized to try and prevent this danger to the patient. These devices are passive devices. One such
20 conventional device is a balloon mounted on a catheter or on a guide wire that is inflated distal to the lesion being treated. Another such conventional device is an umbrella like filter placed distal to the lesion being treated. A major shortcoming of these conventional filters is that these conventional filters or barriers may greatly reduce, or even block, the downstream flow of blood from

the treated artery to the brain, e.g., in the carotid artery. Another shortcoming of these devices is that they are passive.

OBJECTS AND SUMMARY OF THE INVENTION

It is an object of this invention to provide a device and method for actively
5 removing emboli from an arterial bloodstream.

It is another object of this invention to provide an apparatus for disposing an active embolization filter device in the target area of a lumen comprising a motor having a proximal end and a distal end. The motor has a longitudinal bore and is provided with a motor friction area disposed within the longitudinal
10 bore adapted to permit selective movement of the motor around the longitudinal axis of a guide wire disposed in the longitudinal bore. A guide wire having a longitudinal axis is disposed within the longitudinal bore and the guide wire and the longitudinal bore are sized and adapted to impart friction between the friction area of the motor and the guide wire in an amount sufficient to permit the
15 motor to change position relative to the guide wire by rotating around the longitudinal axis of the guide wire when the motor is energized. A plurality of selectively deployable paddles is attached to the motor and are adapted for selective movement between a first collapsed or stored position when the motor is not energized to a second deployed position when the motor is energized.
20 The paddles are further adapted to initiate a spiral blood flow in blood flowing through a lumen to deflect emboli towards the internal wall of the lumen when the paddles are in the second deployed position. In an especially preferred embodiment the paddles are adapted to move to the second or deployed position by centrifugal force imparted to them when the motor causes them to

rotate around the longitudinal axis of a guide wire. A thrombus-collecting gutter having a closed bottom end and an open top end is attached to the motor and is adapted for selective movement between a first stored position when the motor is not energized to a second deployed position when the motor is energized.

- 5 The gutter is disposed distal to the paddles with the open top end of the gutter disposed between the closed bottom end of the gutter and the paddles when the gutter is disposed in the deployed second position. The gutter is sized so that when it is in the second or deployed position the outer wall of the gutter is in contact with the inner wall of the lumen being treated. Means are provided for
10 selectively energizing the motor and activating the motor friction area.

It is still another object of this invention to provide an apparatus for disposing an active embolization filter in the target area of a lumen comprising a cylindrically shaped housing having a proximal end and a distal end. The housing has a longitudinal bore adapted to permit selective movement of the
15 housing along the longitudinal axis of a guide wire disposed in the longitudinal bore. A guide wire having a longitudinal axis is disposed within the longitudinal bore. A selectively deployable spiral blood flow initiator is attached to the housing and is adapted for selective movement between a first stored position and a second deployed position, and is adapted to initiate a spiral blood flow of
20 blood flowing through a lumen and deflect emboli towards the internal wall of the lumen when the spiral blood flow initiator is in the second deployed position. In a preferred embodiment the spiral blood flow initiator is moved from the first stored position to the second deployed position by centrifugal force imparted by a rotating motor. A thrombus- collecting-gutter having a closed bottom end and

an open top end and adapted for selective movement between a first stored position and a second deployed position is disposed on the guide wire distal to the spiral blood flow initiator. When the spiral blood flow initiator and the gutter are in the deployed second position the open top end of the gutter is disposed
5 between the closed bottom end of the gutter and the spiral blood flow initiator. Means are provided for selectively moving the spiral blood flow initiator and the thrombus collecting gutter between the first stored position and the second deployed position.

10

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a cylindrically shaped motor and a guide wire utilized in one embodiment of the invention to dispose an active embolization filter in the target area of a lumen;

FIG. 2 is a cross-sectional end view of the embodiment of the
15 invention shown in Fig. 1;

FIG. 3 is a side view of a preferred embodiment of the invention utilizing the motor and guide wire of FIGS. 1 and 2;

FIG. 3A is an end-view taken along line A-A of Fig. 3;

FIG. 4 shows one embodiment for attaching the paddles of an
20 apparatus constructed in accordance with the invention;

FIG. 5 shows an alternative embodiment for attaching the paddles of an apparatus constructed in accordance with the invention;

FIG. 6 shows an alternative embodiment for attaching the paddles of an apparatus constructed in accordance with the invention;

FIG. 7 shows a side view of another embodiment constructed in accordance with the invention that utilizes a spiral blood flow initiator;

FIG. 8 shows one embodiment for selectively opening and closing the top end of thrombus collecting gutter constructed in accordance with the invention;

FIG. 9 shows an alternative embodiment for selectively opening and closing the top end of thrombus collecting gutter constructed in accordance with the invention;

FIG. 10A shows an embodiment utilizing a sheath disposed in a first position in accordance with the invention; and

FIG. 10B shows the sheath of FIG. 10A disposed in a second position.

DETAILED DESCRIPTION OF THE INVENTION

Miniature Oscillating Ceramic Motors (OCM) are well known in the art and are disclosed in U.S. patent 5,453,653 to Zumeris the specification of which is incorporated herein by reference. These motors can be made very small and in any shape and they operate by contacting a surface in an amount sufficient to generate sufficient friction to permit the motor to "crawl" along the contacted surface and change its position relative to the contacted surface when the motor is energized. These motors can be adequately insulated to act in aqueous environments. Their small size and low energy level requirements make them especially suitable for use inside living organisms.

FIG. 1 is a lateral perspective of a portion of one embodiment of

th invention and shows a cylindrical motor 1 having a longitudinal bore 5 there through. A guide wire 2 is disposed within the longitudinal bore 5.

FIG. 2 is a cross-sectional end view taken on line A-A of FIG. 1 and shows the motor 1, preferably cylindrical, having an outer surface 3 and an inner surface 4 defining a longitudinal bore 5. The inner surface 4 defining the longitudinal bore 5 is provided with a friction area 6 adapted to engage the guide wire 2. The longitudinal bore 5 and the guide wire 2 are sized and adapted so that when the motor 1 is energized the friction area 6 engages the guide wire 2 and the motor 1 will rotate around the longitudinal axis 52 of the guide wire 2, thus, changing its position relative to the guide wire 2. In one embodiment, shown in FIG. 2, a biasing means, e.g., a leaf spring 7 is utilized to bias the guide wire 2 against the friction area 6 of the motor 1.

FIG. 3 is a side-view of a preferred embodiment of an invention that utilizes the motor and guide wire shown in FIGS. 1 and 2. FIG. 3A is an end-view of FIG. 3 taken along line A-A of FIG. 3. A plurality of selectively deployable paddles 8 is attached to the motor 1. The paddles 8 are adapted to be selectively movable between a first collapsed or stored position 9 when the motor 1 is not energized and a second or deployed position 10 when the motor 1 is energized. A wide variety of means well known to those skilled in the art as suitable for selectively moving the paddles 8 between the first stored position 9 and the second deployed position 10 may be utilized. In one embodiment, the paddles 8 may be made of an elastic material and may be moved from the first stored position 9 to the second deployed position 10 under their own elastic force after a locking wire 11 is released. After the treatment has been

completed, the locking wire 11 may be activated to move the paddles 8 from the second deployed position 10 to the first stored position 9 to facilitate removal. In another embodiment, a sheath 24 selectively moveable along the longitudinal axis of the guidewire 2 is utilized to selectively move the paddles 8 between the first or stored position 9 to the second or deployed position 10. As shown in FIG. 10a, when the sheath 24 is in the first position 25 the paddles 8 are disposed in the first or stored position 9 and as shown in FIG. 10b, when the sheath 24 is disposed in the second position 26 the paddles 8 are free to move to the second or deployed position 10. The paddles 8 are returned to the first or stored position by moving the sheath 24 to the first position 25. In another preferred embodiment, the paddles 8 may be attached to arms 12 that are connected to the motor 1 via springs 13 as shown in FIG. 4. In yet another preferred embodiment, the paddles 8 are directly connected to the motor 1 via springs 14 as shown in FIG. 5. In an especially preferred embodiment, the paddles 8 are connected to arms 12 that are hingedly connected to the motor 1 and the arms 12 are provided with springs 15, as shown in FIG. 6, that normally keep the arms 12 and the paddles 8 in the first stored position 9. In an especially preferred embodiment, the paddles are sized and adapted so that when the motor 1 is energized and begins to rotate around the longitudinal axis of the guide wire 2, the centrifugal force of the rotating motor 1 causes the paddles 8 to move from the first stored position 9 to the second deployed position 10 and when the motor 1 stops rotating the absence of centrifugal force causes the paddles 8 to move to the first or stored position 9. The paddles 8 are sized and shaped so that as they rotate they initiate a spiral blood flood in

the blood passing through the lumen. The swirling or cyclonic action caused by the rotating paddles 8 causes the emboli to flow towards the wall of the lumen and hug the lumen as the emboli travel with the blood flowing through the lumen. The emboli are then collected by a gutter positioned around the internal surface of the lumen. The gutter traps the emboli but allows blood to flow through the center of the lumen. When the treatment is finished, the motor is de-energized and the lack of centrifugal force allows the paddles to return to the first stored position.

A thrombus collecting gutter 16 having a closed bottom end 17 and an open top end 18 is disposed distal to the paddles 8. The thrombus collecting gutter 16 may be disposed on the motor or the guidewire and is adapted for selective movement between a first collapsed or stored position 19 and a second deployed position 20 as shown in FIG. 3. A wide variety of means well known to those skilled in the art as suitable for selectively moving the thrombus collecting gutter 16 between the first stored position and the second deployed position 20 may be utilized as previously discussed with respect to moving the paddles 8 from the first or stored position to the second or deployed position.

In one preferred embodiment, the thrombus collecting gutter 16 is made of an elastic material and may be moved from the first stored position 19 to the second deployed position 20 under its own elastic force after a locking wire 11' is released. In another embodiment, the thrombus collecting gutter 16 is moved to the second or deployed position 20 by centrifugal force created by the rotating motor 1. In yet another embodiment a selectively movable sheath

24 is used to selectively move both the gutter and the paddles between a first stored position and a second deployed position as previously discussed and as shown in FIGS. 10A and 10B.

When the thrombus collecting gutter 16 is in the first or stored position 19
5 it has a narrow streamlined configuration that permits it to be easily and safely disposed in and removed from the target area. In a preferred embodiment, the thrombus collecting gutter 16 has a substantially "U" shaped cross-sectional configuration, as shown in FIGS. 3, 7, and 8, when in the second deployed position 20 and an external diameter that is substantially equal to the internal
10 diameter of the lumen being treated. When the thrombus collecting gutter 16 is disposed in the second deployed position 20 the open top end 18 is disposed between the bottom end 17 of the gutter 16 and the paddles 8, i.e., the open end 18 of the "U" faces the paddles 8 to permit thrombi diverted by the paddles to be trapped in the gutter 16. In an especially preferred embodiment, the top or
15 open end 18 of the gutter 16 is adapted so that it may be selectively opened and closed and is provided with a means 21 for selectively opening and closing the top end of the gutter. The means 21 for selectively opening and closing the gutter may be selected from a wide variety of means well known to those skilled in the art as suitable for this purpose, however, in a preferred embodiment a trip
20 wire 21 disposed along the periphery of the top end of the gutter is utilized. Alternatively, the trip wire 21 may be embedded within the top end 18 of the gutter as shown in FIG. 8. The trip wire 21 is preferably connected to an activation means such as a lever or a wire 22 for selectively opening and closing the top end 18 of the gutter 16. In an especially preferred embodiment the top

end 18 of the gutter 16 is provided with a wire or filament 23 that traverses the open end of the gutter for selectively opening and closing the open top end 18 of the gutter 16 as shown in FIG 9. When the wire or filament 23 is pulled or tugged by an activation means such as a lever or wire 22, the wire or filament 5 23 causes the open end 18 of the gutter 16 to close in the same way that pulling on a sewing thread connecting two pieces of cloth causes the two pieces of cloth to move closer to each other.

In operation of a preferred embodiment, the apparatus is constructed, mounted on a guide wire and is manually advanced to the target 10 area which is downstream from the area that is being treated. The motor is then energized to activate the motor friction area which causes the motor to rotate around the longitudinal axis of the guide wire. The centrifugal force created by the rotating motor causes the gutter and the paddles to move from the first stored position to the second deployed position with the open end of the gutter 15 facing the paddles. The rotating paddles create a swirling action or spiral blood flow in the blood like a propeller rotating in water which causes emboli in the blood to flow toward and be propelled against the internal wall of the lumen. The emboli hug the internal wall of the lumen as the blood forces them downstream and into the open end of the gutter. When the treatment is 20 complete the motor is de-energized which causes the motor to stop rotating and causes the paddles and the gutter to return to the first collapsed or stored position. In some applications it may be desirable to utilize a gutter whose open top end may be selectively opened and closed. In this embodiment the open top end of the gutter may be closed before it is moved from the second deployed

position to the first stored position to help retain the trapped emboli and reduce the likelihood that they will spill out of the gutter into the bloodstream as the apparatus is removed from the lumen. The apparatus may then be manually removed by pulling on the guide wire.

5 Figure 7 shows another preferred embodiment of the invention and shows a motor 50, preferably cylindrically shaped, having a longitudinal bore 51 sized and adapted to permit selective movement of the motor 50 around the longitudinal axis 52 of a guide wire 2 disposed within the longitudinal bore 51. A spiral blood flow initiator 53 is attached to the motor 50 and is
10 adapted to permit the spiral blood flow initiator 53 to be selectively moved between a first collapsed or stored position 54 to a second deployed position 55. The spiral blood flow initiator 53 is also sized and adapted to initiate a spiral or cyclonic blood flow sufficient to deflect any emboli in the flowing blood towards the internal wall of the lumen in which the blood is flowing. A thrombus
15 collecting gutter 16, previously discussed, is disposed either on the motor 50 or the guidewire 2 distal to the spiral blood flow initiator 53 with the open top end 18 of the gutter 16 disposed between the closed bottom end 17 of the gutter 16 and the spiral blood flow initiator 53 when the gutter 16 and the spiral blood flow initiator 53 are in the deployed second position 55. Means are provided for
20 selectively moving the spiral blood flow initiator and the gutter between the first stored position and the second deployed position as previously discussed. In a preferred embodiment, a rotating motor 50 is utilized to create centrifugal force to both deploy and retract both the gutter 16 and the spiral blood flow initiator 53 as previously discussed.

In operation, the device is advanced to the target area and the spiral blood flow initiator 53 and the gutter 16 are moved from the first position 19 and 54 to the second or deployed position 20 and 55. As the blood flowing in the lumen strikes the spiral blood flow initiator 53, the spiral blood flow initiator 53 initiates a spiral or cyclonic blood flow which deflects or propels emboli against the internal wall of the lumen so that they can be trapped in the gutter 16 as previously discussed. The gutter 16 and the spiral blood flow initiator 53 are then returned to the first collapsed or stored position 19 and 54 and the apparatus is withdrawn from the target area. The gutter may be adapted to be selectively opened and closed as previously discussed.

While the invention has been described with respect to a limited number of embodiments, it will be appreciated that many variations, modifications, and other applications of the invention may be made.

WHAT IS CLAIMED IS

:

1. An apparatus for collecting emboli flowing in a lumen, comprising:
 - 5 a) a motor having a proximal end and a distal end, the motor having a longitudinal bore, the motor provided with a motor friction area disposed within the longitudinal bore, the motor friction area adapted to permit selective movement of the motor around the longitudinal axis of a guide wire disposed in the longitudinal bore;
 - 10 b) a guide wire having a longitudinal axis disposed within the longitudinal bore, the guide wire and the longitudinal bore sized and adapted to impart friction between the friction area of the motor and the guide wire in an amount sufficient to permit the motor to change position relative to the guide wire by rotating around the longitudinal axis of the guide wire when the motor is
 - 15 energized;
 - c) a plurality of selectively deployable paddles attached to the motor, the paddles adapted for selective movement between a first stored position and a second deployed position; the paddles further adapted to initiate a spiral blood flow of blood flowing through a lumen to deflect emboli towards the internal wall
 - 20 of the lumen when the paddles are in the second deployed position; and
 - d) a thrombus collecting gutter having a closed bottom end and an open top end, the gutter adapted for selective movement between a first stored position and a second deployed position, the gutter disposed on the guide-wire distal to the paddles when the gutter and the paddles are in the deployed

second position with the open top end of the gutter disposed between the closed bottom end of the gutter and the paddles when the gutter is disposed in the deployed second position; and

e) means for selectively energizing the motor and activating the motor

5 friction area.

2. The apparatus of claim 1, further comprising a biasing means to bias the guide wire against the friction area.

3. The apparatus of claim 2, wherein the biasing means is a leaf
10 spring.

4. The apparatus of claim 1, wherein the gutter is further adapted so that the top end may be selectively opened and closed and is provided with means for selectively opening and closing the top end of the gutter.

5. The apparatus of claim 1, wherein the motor is cylindrically
15 shaped.

6. An apparatus for collecting emboli flowing in a lumen, comprising:

a) a motor having a proximal end and a distal end, the motor having a longitudinal bore, the motor provided with a motor friction area disposed within
20 the longitudinal bore adapted to permit selective movement of the motor around the longitudinal axis of a guide wire disposed in the longitudinal bore;

b) a guide wire having a longitudinal axis disposed within the longitudinal bore, the guide wire and the longitudinal bore sized and adapted to impart friction between the friction area of the motor and the guide wire in an amount

sufficient to permit the motor to change position relative to the guidewire by rotating around the longitudinal axis of the guidewire when the motor is energized;

5 c) a plurality of selectively deployable paddles attached to the motor, the paddles adapted for selective movement between a first stored position and a second deployed position; the paddles further adapted to initiate a spiral blood flow of blood flowing through a lumen to deflect emboli towards the internal wall of the lumen when the paddles are in the second deployed position; and

10 d) a thrombus collecting gutter having a closed bottom end and a top end adapted to be selectively opened and closed, the gutter adapted for selective movement between a first stored position and a second deployed position, the gutter disposed on the guidewire distal to the paddles when the gutter and the paddles are in the deployed second position with the top end of the gutter disposed between the closed bottom end of the gutter and the
15 paddles when the gutter is disposed in the deployed second position;

e) means for selectively moving the paddles between the first stored position and the second deployed position;

f) means for selectively moving the thrombus collecting gutter between the first stored position and the second deployed position; and

20 g) means for selectively energizing the motor and activating the motor friction area to permit the motor to be selectively moved around the longitudinal axis of the guidewire.

7. The apparatus of claim 6, further comprising a biasing means to bias the guidewire against the friction area.

8. The apparatus of claim 7, wherein the biasing means is a leaf spring.

9. The apparatus of claim 6, wherein the
5 means for selectively moving the paddles between the first stored position and the second deployed position; and the means for selectively moving the thrombus collecting gutter between the first stored position and the second deployed position is a locking wire.

10. The apparatus of claim 6, wherein the means for selectively
10 moving the paddles between the first stored position and the second deployed position; and the means for selectively moving the thrombus collecting gutter between the first stored position and the second deployed position is a sheath adapted for movement along the longitudinal axis of the guidewire between a first position which retains the paddles and the gutter in the first or stored
15 position and a second position which permits the paddles and the gutter to move to the second or deployed position.

11. The apparatus of claim 6, wherein the gutter is further
adapted so that the open top end of the gutter may be selectively opened
and closed and is provided with a means for selectively opening and
20 closing the top end.

12. The apparatus of claim 11, wherein the means for
selectively opening and closing the top end of the gutter is a trip wire.

13. An apparatus for collecting emboli flowing in a lumen,
comprising:

- a) a housing having a proximal end and a distal end, the housing having a longitudinal bore sized and adapted to permit selective movement of the housing along the longitudinal axis of a guide wire disposed in the longitudinal bore;
- 5 b) a guide wire having a longitudinal axis disposed within the longitudinal bore;
- c) a selectively deployable spiral blood flow initiator attached to the housing and adapted for selective movement between a first stored position and a second deployed position, the spiral blood flow initiator sized and adapted to
- 10 initiate a spiral blood flow of blood flowing through a lumen and deflect emboli towards the internal wall of the lumen when the spiral blood flow initiator is in the second deployed position;
- d) a thrombus collecting gutter having a closed bottom end and an open
- 15 top end, the gutter adapted for selective movement between a first stored position and a second deployed position, the gutter disposed on the housing distal to the spiral blood flow initiator with the open top end of the gutter disposed between the closed bottom end of the gutter and the spiral blood flow initiator when the gutter is disposed in the deployed second position;
- 20 e) means for selectively moving the spiral blood flow initiator between the first stored position and the second deployed position; and
- f) means for selectively moving the thrombus collecting gutter between the first stored position and the second deployed position.

14. The apparatus of claim 13, wherein the gutter is further

adapted so that the open top end may be selectively opened and closed and is provided with means for selectively opening and closing the top end of the gutter.

15. The apparatus of claim 14, wherein the means for
5 selectively opening and closing the top end of the gutter is a trip wire.

16. The apparatus of claim 13, wherein the:
means for selectively moving the spiral blood flow initiator between the first
stored position and the second deployed position; and the means for selectively
10 moving the thrombus collecting gutter between the first stored position and the
second deployed position is a motor attached to the spiral blood flow initiator
and the thrombus collecting gutter, the motor having a proximal end and a distal
end and a longitudinal bore, the motor provided with a motor friction area
disposed within the longitudinal bore adapted to permit selective movement of
15 the motor around the longitudinal axis of a guide wire disposed in the
longitudinal bore.

17. The apparatus of claim 13, wherein the
means for selectively moving the spiral blood flow initiator between the first
stored position and the second deployed position; and the means for selectively
20 moving the thrombus collecting gutter between the first stored position and the
second deployed position comprises a locking wire.

18. The apparatus of claim 13, wherein the
means for selectively moving the spiral blood flow initiator between the first
stored position and the second deployed position; and the means for selectively

moving the thrombus collecting gutter between the first stored position and the second deployed position comprises a sheath adapted for movement along the longitudinal axis of the guidewire between a first position which retains the spiral blood flow initiator and the gutter in the first or stored position and a second position which permits the paddles and the gutter to move to the second or deployed position.

19. A method of collecting emboli in a bloodstream, comprising the steps of:

a) constructing an apparatus comprising:

10 a) a motor having a proximal end and a distal end, the motor having a longitudinal bore, the motor provided with a motor friction area disposed within the longitudinal bore, the motor friction area adapted to permit selective movement of the motor around the longitudinal axis of a guide wire disposed in the longitudinal bore;

15 b) a guide wire having a longitudinal axis disposed within the longitudinal bore, the guide wire and the longitudinal bore sized and adapted to impart friction between the friction area of the motor and the guide wire in an amount sufficient to permit the motor to change position relative to the guide wire by rotating around the longitudinal axis of the guide wire when the motor is energized;

20

c) a plurality of selectively deployable paddles attached to the motor, the paddles adapted for selective movement between a first stored position when the motor is not energized to a second deployed position when the motor is energized; the paddles further adapted to initiate a spiral blood flow of blood

flowing through a lumen and deflect emboli towards the internal wall of the lumen when the paddles are in the second deployed position; and

d) a thrombus collecting gutter having a closed bottom end and an open top end, the gutter adapted for selective movement between a first stored position when the motor is not energized to a second deployed position when the motor is energized, the gutter disposed on the guide-wire distal to the paddles when the gutter and the paddles are in the deployed second position with the open top end of the gutter disposed between the closed bottom end of the gutter and the paddles when the gutter is disposed in the deployed second position;

b) disposing the apparatus in the target area of the lumen being treated;

c) energizing the motor to activate the motor friction area so that the motor turns around the longitudinal axis of the guide wire and the paddles and the gutter move from the first stored position to the second deployed position;

d) allowing the device to remain in the target area for a period of time sufficient for the specific treatment;

e) de-energizing the motor to deactivate the motor friction area so that the motor stops turning around the longitudinal axis of the guide wire and the paddles and the gutter move from the second deployed position to the first stored position; and

f) removing the apparatus from the target area of the lumen being treated.

20. The method of claim 19 further comprising the step
between n step d) and f) of closing the top end of the gutter.

21. The method of claim 19, further comprising the step
between steps d) and e) of removing the collected emboli from the gutter
before the gutter is moved from the second deployed position to the first
stored position.

22. A method of collecting emboli in a bloodstream, comprising
the steps of:

a) constructing an apparatus comprising:

a) a motor having a proximal end and a distal end, the motor
having a longitudinal bore, the motor provided with a motor friction area
disposed within the longitudinal bore adapted to permit selective movement
around the longitudinal axis of a guide wire disposed in the longitudinal bore;

b) a guide wire having a longitudinal axis disposed within the
longitudinal bore, the guide wire and the longitudinal bore sized and adapted to
impart friction between the friction area of the motor and the guide wire in an
amount sufficient to permit the motor to change position relative to the guide
wire by rotating around the longitudinal axis of the guide wire when the motor is
energized;

c) a plurality of selectively deployable paddles attached to the
motor, the paddles adapted for selective movement between a first stored
position and a second deployed position; the paddles further adapted to initiate
a spiral blood flow of blood flowing through a lumen and deflect emboli towards
the internal wall of the lumen when the paddles are in the second deployed

position;

d) a thrombus collecting gutter having a closed bottom end and a top end adapted to be selectively opened and closed, the gutter adapted for selective movement between a first stored position when the motor friction area is not energized to a second deployed position when the motor friction area is energized, the gutter disposed on the guide wire distal to the paddles when the gutter and the paddles are in the deployed second position with the top end of the gutter disposed between the closed bottom end of the gutter and the paddles when the gutter is disposed in the deployed second position;

10 e) means for selectively moving the paddles between the first stored position and the second deployed position;

f) means for selectively moving the thrombus collecting gutter between the first stored position and the second deployed position;

15 g) means for selectively opening and closing the top end of the gutter; and

h) means for selectively energizing the motor and activating the motor friction area to permit the motor to be selectively moved around the longitudinal axis of the guide wire;

b) disposing the apparatus in the target area of the lumen to be treated;

20 c) activating the means for selectively moving the paddles to move the paddles to the second or deployed position;

d) activating the means for selectively moving the thrombus collecting gutter to move the thrombus collecting gutter to the second or deployed position;

e) energizing the motor to activate the motor friction area so that the motor turns around the longitudinal axis of the guide wire;

f) allowing the device to remain in the target area for a period of time sufficient for the specific treatment;

5 g) activating the means for selectively opening and closing the top end of the gutter to close the top end of the gutter;

h) de-energizing the motor to deactivate the motor friction area so that the motor stops revolving around the longitudinal axis of the guide wire;

10 i) activating the means for selectively moving the paddles to move the paddles to the first or stored position;

j) activating the means for selectively moving the thrombus collecting gutter to move the gutter to the first or stored position; and

k) removing the apparatus from the target area of the lumen being treated.

15 23. A method of collecting emboli in a bloodstream, comprising the steps of:

a) constructing an apparatus comprising:

20 a) a housing having a proximal end and a distal end, the housing having a longitudinal bore adapted to permit selective movement of the housing along the longitudinal axis of a guide wire disposed in the longitudinal bore;

b) a guide wire having a longitudinal axis disposed within the longitudinal bore;

c) a selectively deployable spiral blood flow initiator attached to the housing and adapted for selective movement between a first stored position and

a second deployed position, the spiral blood flow initiator adapted to initiate a spiral blood flow of blood flowing through a lumen and deflect emboli towards the internal wall of the lumen when the spiral blood flow initiator is in the second deployed position;

5 d) a thrombus collecting gutter having a closed bottom end and an open top end, the gutter adapted for selective movement between a first stored position and a second deployed position, the gutter disposed on the guide wire distal to the spiral blood flow initiator and thrombus deflector when the spiral blood flow initiator and thrombus deflector and the gutter are in the deployed
10 second position with the open top end of the gutter disposed between the closed bottom end of the gutter and the spiral blood flow initiator and thrombus deflector when the gutter is disposed in the deployed second position;

 e) means for selectively moving the spiral blood flow initiator between the first stored position and the second deployed position; and

15 f) means for selectively moving the thrombus collecting gutter between the first stored position and the second deployed position;

 b) disposing the apparatus in the target area of the lumen being treated;

 c) activating the means for moving the spiral blood flow initiator to move the spiral blood flow initiator from the first stored position to the second
20 deployed position;

 d) activating the means for moving the thrombus collecting gutter to move the thrombus collecting gutter from the first stored position to the second deployed position;

 e) allowing the device to remain in the target area for a period of time

sufficient for the specific treatment;

f) activating the means for moving the spiral blood flow initiator to move the spiral blood flow initiator from the second deployed position to the first stored position;

5 g) activating the means for moving the thrombus collecting gutter to move the thrombus collecting gutter from the second deployed position to the first stored position; and

h) removing the apparatus from the target area of the lumen being treated.

10 24. The method of claim 23, further comprising the step, between steps d) and g) of closing the top end of the gutter.

25. The method of claim 23, further comprising the step between steps e) and g) of removing the collected emboli from the gutter before the gutter is moved from the second deployed position to the first stored position.

1/6

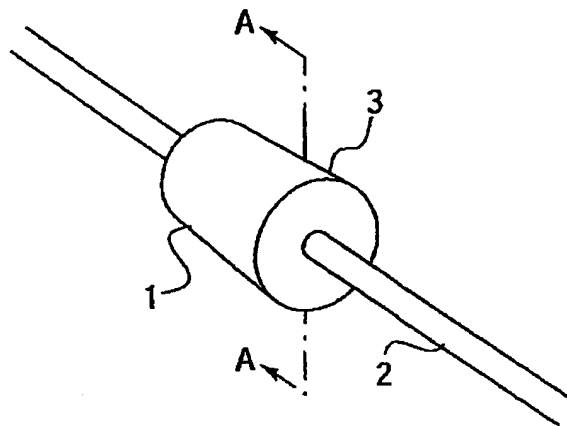


FIG. 1

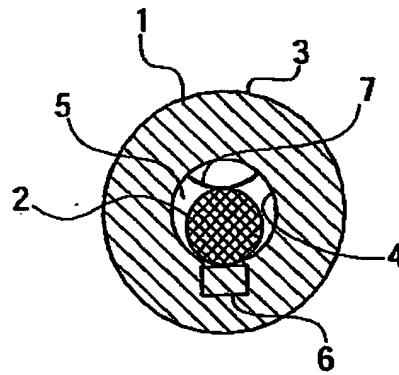
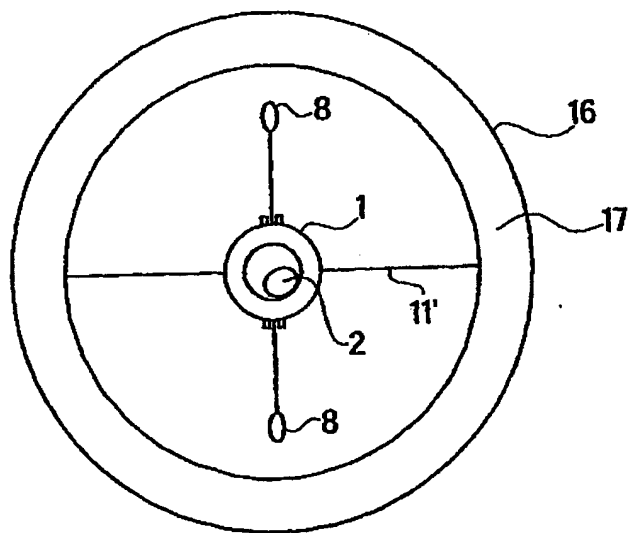
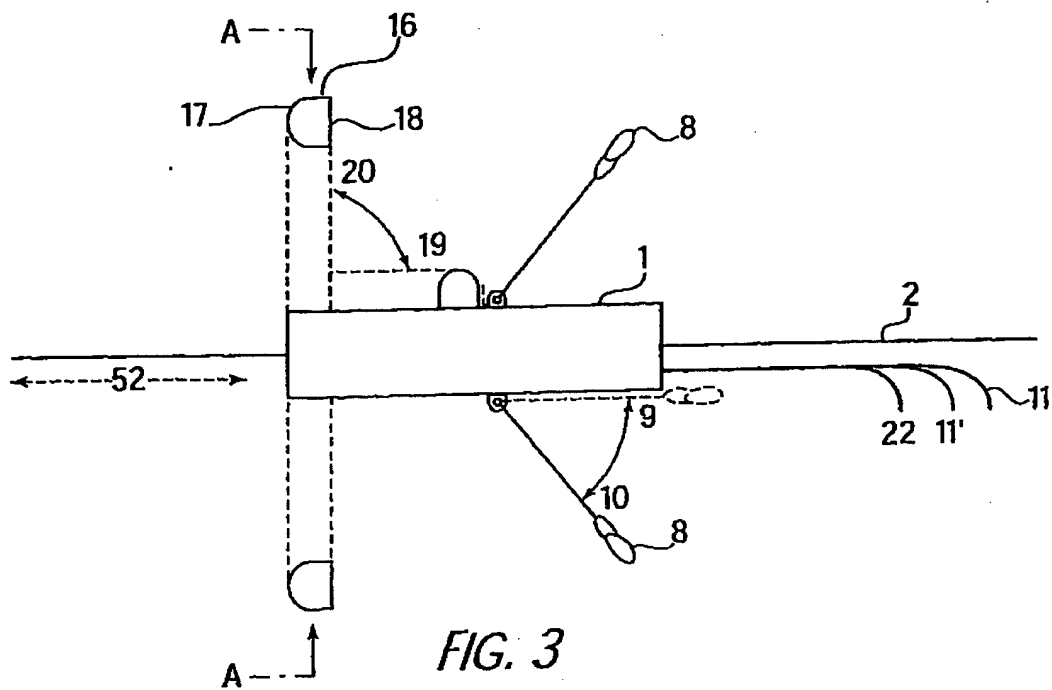


FIG. 2

2/6



3/6

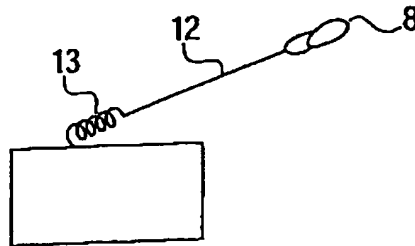


FIG. 4

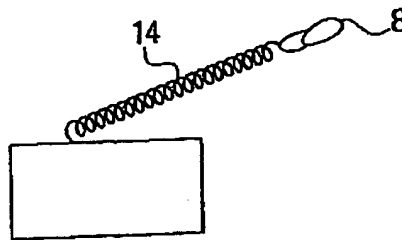


FIG. 5

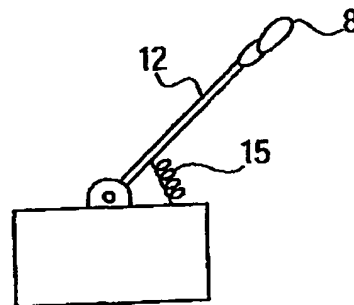


FIG. 6

4/6

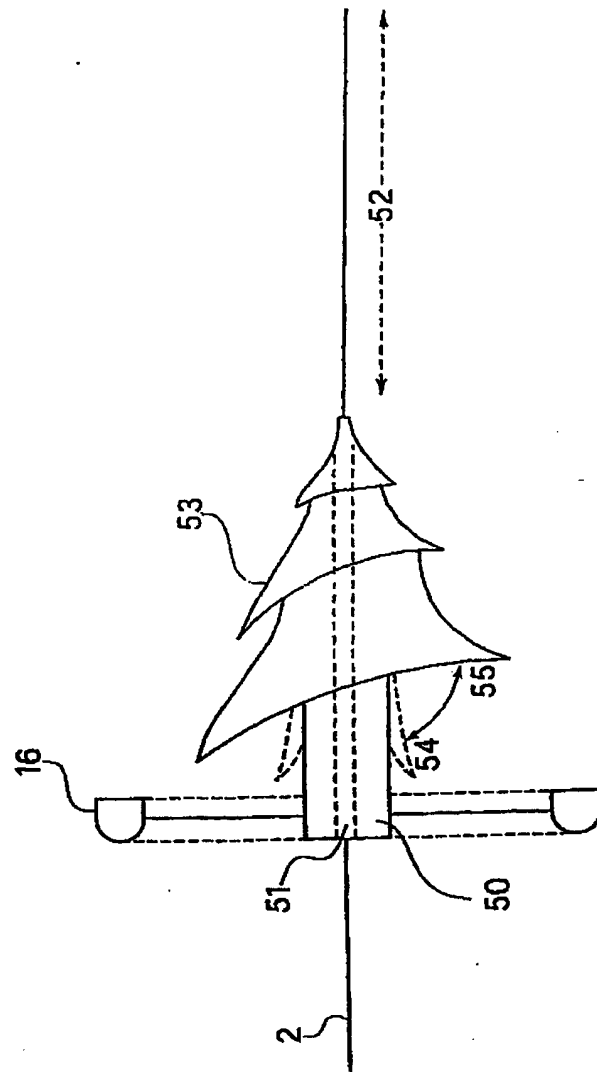


FIG. 7

5/6

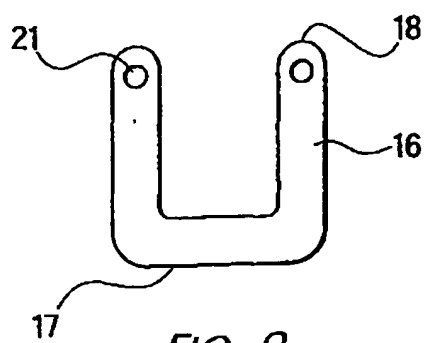


FIG. 8

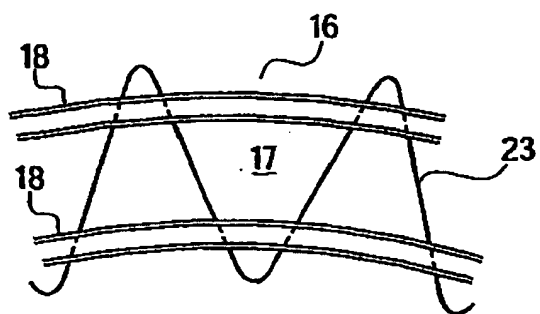


FIG. 9

6/6

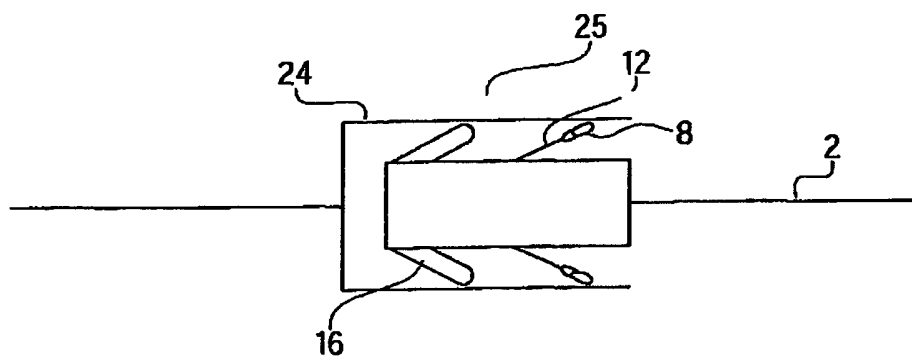


FIG. 10A

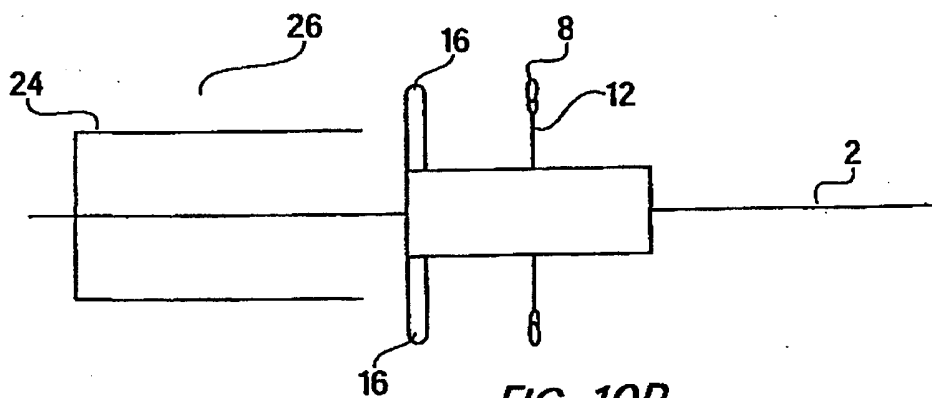


FIG. 10B